

I, (Name-surname)....., (the Granter/ Client), hereby to evidence of my consent for transaction (s) related to the securities business with **CGS-CIMB Securities (Thailand) Co., Ltd. "the Company"** with details as follows; I have already acknowledged

The Privacy Policy Notice on web link of the Company; <https://www.cimbsecurities.co.th/th/home/PrivacyPolicyNotice.aspx>

I acknowledge the rights to choices in providing my Personal Data with the Company. No matter for the choosing consent or not to consent my Personal Data with the Company, the use of basic service transaction of the Company will not be affected. Failure to provide such data may result the Company to be unable to open or maintain accounts or establish, provide or facilitate brokerage or securities or other relating business. The Company necessary to collect, use and/or disclose my Personal data from other sources, e.g. social media, third party's online platforms, and other publicly available sources for opening account, investments, executing transactions, trading, subscription, services providing the goods or products related to securities business, performing under contract both before entering and during the effective of the agreement with the Company, e.g. application for opening account, credit approval form or other request form, to comply with my instruction or requisition

The Company has set out the rights and choices to process my Personal Data to provide service as agency/brokerage securities trading, securities services, investment or product related to securities business. Hence, the Company requests my consent the collection, use, disclose and/or transfer my Personal Data as the purposes specified below;

1. I consent the Company to the collection, use and/or disclose my Personal Data for the purpose of marketing, special offers, sale promotions related to transactions, service providing the goods and products of the Company, CGS-CIMB Group, Company's service providers and/or business partners, by communication in form of documents information, electronic information, voice message, short message or image via letter, email, landline phone /mobile phone or via online channel, applications or other channel which will be available in the future.

☐ Consent

☐ Not consent

2. I consent the Company to the collection, use and/or disclose my Sensitive Data that is the Biometric data for the purpose of proof or identify, apply or use the Company's products and/or services e.g. facial recognition, iris scan and fingerprint scan.

☐ Consent

☐ Not consent

Note: For data about Know-Your-Customer (KYC), Customer Due Diligence (CDD), vulnerable customer, relationship among group of customers, including the Criminal records for the purpose of the Anti-Money Laundering, Counter-Terrorism and Proliferation of Weapon of Mass Destruction Financing under the provisions of the relevant Laws. The company is required to the collection, use and/or disclose for the specified purpose under the legal obligation and contractual basis only.

3. I consent the Company to disclose and/or transfer my Personal Data to **CGS-CIMB Group** whether in local or foreign country (s) for the purpose of processing personal data, data analysis, market research, development or propose services or products, audit, risk management, trust assessment, Group Company Supervision.

"CGS-CIMB Group" shall mean (i) CGS-CIMB Securities International PTE LTD and Affiliates; (ii) China Galaxy International Financial Holdings Limited, China Galaxy Securities Co., Ltd. and Affiliates; (iii) CIMB Group Holdings BERHAD, CIMB Group SDN BHD, CIMB Thai Bank Public Company Limited, Principal Asset Management Co., Ltd. and Affiliates; (iv) CGS-CIMB Securities SDN BHD and Affiliates.

☐ Consent

☐ Not consent

4. I consent the Company to disclose and/or transfer my Personal Data to Company's Service Providers and/or Business Partners whether in local or foreign country (s) for the purpose of complying with the contract related to the transactions, services or other products of company. Such Personal Data include account opening information, subscription of securities, investment, deposit, withdraw and transfer securities or assets, and the use of any services with company i.e. investment risk levels, investment history, investment value, movement of transactions, investment value in securities or other products at any particular time that invest by myself or as underlying securities obtained from conversion or delivered under the investment conditions and cross border trading.

Note: the company reserves the right to immediately suspend the Cross Border Trading accounts, if without consent to this clause 4.

"Business Partners" include CIMB Thai Bank Public Company Limited, Securities Company (as a brokerage or agent securities trading), Asset Management Company (as a trading agent the units trust) and Commercial Banks (as a brokerage or agent trading and/or the underwriting securities, bond and financial transaction)

☐ Consent

☐ Not consent

I acknowledge the right to withdraw my consent given to the company in accordance with the method specified by the company, unless there have any restrictions on the right to withdraw consent as stipulated by law or under the conditions of mutual agreement with the Company. IN WITNESS WHEREOF, we therefore sign this consent form.

Signature: the Granter/ the Client

Date: